

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

WITH SIGNED DECLARATION

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of
Inventor(s): HONGOH

Title MICROWAVE PLASMA PROCESSING APPARATUS FOR
CONTROLLING A TEMPERATURE OF A WAVELENGTH
REDUCING MEMBER

Atty. Dkt.: PM 266959
M#

EL99001CDC
Client Ref

including:

Date: March 28, 2000

1. Specification: 29 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 17 numbered claims
4. ☒ Drawings: 3 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# _____ SN _____)
6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:
6(a) ☐ National Appln. No. _____ / _____ filed _____ (M# _____)
6(b) ☐ International Appln. No. _____ filed _____
7. ☐ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S.
Provisional Application No. 60/ _____ , filed _____
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to _____

by Assignment recorded _____ Reel _____ Frame _____

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in Japan
11. _____ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 11-85675	March 29, 1999	(2)	
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		(10)	

12. 1 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) _____
in U.S. Application No. _____ / _____ filed on _____

13. ☐ Attached: _____ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
14. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.
16. ☒ Attached: PTO Form-1449 listing enclosed documents
17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$690/\$345	\$690	101/201
19. Total Effective Claims	17	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	3	minus 3 =	*0	x \$78/\$39 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$260/\$130	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$690	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$730	

Our Deposit Account No. 03-3975

Our Order No. 40258 266959
C# M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Madison & Sutro LLP
Intellectual Property Group**

1100 New York Avenue, NW
Ninth Floor
Washington, DC 20005-3918
Tel: (202) 861-3000
GJP/ans

By Atty: Glenn J. Perry

Reg. No. 28458

Sig: Glenn J. Perry #20508

Fax: (202) 822-0944
Tel: (202) 861-3070

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT I, TOSHIAKI HONGO, a
citizen of Japan residing at Nakakoma-Gun, Yamanashi,
Japan have invented certain new and useful
improvements in

MICROWAVE PLASMA PROCESSING APPARATUS FOR
CONTROLLING A TEMPERATURE OF A WAVELENGTH
REDUCING MEMBER

of which the following is a specification:-

TITLE OF THE INVENTION

MICROWAVE PLASMA PROCESSING APPARATUS FOR
CONTROLLING A TEMPERATURE OF A WAVELENGTH REDUCING MEMBER

5 BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to a plasma
processing apparatus and, more particularly, to a plasma
processing apparatus using a microwave for generating
10 plasma in a process chamber.

2. Description of the Related Art

Recently, in a semiconductor device
manufacturing process, plasma processing apparatuses have
been used to perform semiconductor producing processes
15 such as a deposition process, an etching process or an
ashing or stripping process since high-density integration
and fine structure are required for semiconductor devices.
Particularly, a microwave plasma processing apparatus has
become popular since the microwave plasma processing
20 apparatus is capable of generating stable plasma at a
relatively low vacuum of 0.1 millitorr (mTorr) to several
tens of mTorr. The microwave plasma processing apparatus
generates high-density plasma by using a microwave or a
combination of a microwave and a magnetic field generated
25 by a ring-like coil.

In a typical microwave plasma processing
apparatus, a 2.45 GHz microwave is introduced into a
process chamber via a waveguide, a transmission window and
a slot electrode, in that order. A reaction gas is also
30 introduced into the process chamber, which is maintained
at a predetermined negative pressure. The reaction gas
introduced into the process chamber is changed into active
radicals and ions by the microwave so as to produce plasma.

An object to be processed such as a semiconductor wafer is placed in the process chamber so that a predetermined process such as an etching process is performed on the object by utilizing action of the plasma.

5 The microwave may be passed through a wavelength reducing member made of a dielectric material before entering the slot electrode so that the wavelength of the microwave introduced into the process chamber is reduced or shortened. The wavelength reducing member is used to
10 make it possible to use a reduces distance between adjacent slits formed in the slot electrode. A typical wavelength reducing member is disclosed in Japanese Laid-Open Patent Application No. 9-63793.

 The transmission window, which is formed of
15 quartz or alumina, may deteriorate due to heat associated with plasma. The wavelength reducing member and the slot electrode are subjected to thermal expansion by the heat of the plasma. If the slot electrode, which is made of, for example, a copper plate, changes in its length due to
20 thermal expansion, the length of each slit provided in the slot electrode is undesirably changed. This may cause a decrease in the plasma density or localization (unevenness) of the plasma in the process chamber.

 The decrease in the plasma density may slow down
25 a plasma processing speed such as an etching rate or a film deposition rate. As a result, if the plasma processing is controlled based on a processing time, there may be a case in which a desired result of the plasma processing (such as a depth by plasma etching or a
30 thickness by plasma deposition performed on the semiconductor wafer) cannot be obtained when the plasma processing is applied for a predetermined time period. Additionally, if the plasma density in the process chamber

00536721 032800

is localized, the magnitude of the result of plasma processing may vary locally or be uneven on the semiconductor wafer.

In order to solve the above-mentioned problems,
5 Japanese Laid-Open Patent Application No. 3-191073
discloses a microwave plasma processing apparatus having a
cooling device to cool the transmission window using
cooling water. Additionally, the above-mentioned Japanese
Laid-Open Patent Application No. 9-63793 discloses a
10 cooling jacket provided to a cover of the wavelength
reducing member and the slot electrode.

However, Japanese Laid-Open Patent Application
No. 9-63793 does not disclose a material usable for the
wavelength reducing member. If an appropriate material is
15 not selected for the wavelength reducing member,
preferable heat transmission cannot be achieved. This may
cause a problem in that a precise temperature control
cannot be performed, and uniformity of plasma processing
cannot be obtained.

20 Additionally, there are other component parts
which do not have a good heat resistance, such as an O-
ring provided between the process chamber and the
wavelength reducing member. Accordingly, a temperature of
such component parts must be maintained below a
25 predetermined temperature so as to eliminate the influence
of the heat associated with plasma.

On the other hand, in a plasma CVD apparatus
which is one of the plasma processing apparatuses, it is
preferable that a temperature inside the process chamber
30 be maintained as high as possible so as to eliminate
influence of water existing in the process chamber. That
is, if water exists in the process chamber in the form of
liquid or mist, the water may enter a film produced on a

semiconductor wafer by the plasma processing. Accordingly, if a cooling device is provided to the process chamber without consideration of such a problem regarding water, the quality of plasma processing may be reduced.

5 As mentioned above, the conventional plasma processing apparatuses cannot eliminate undesirable influence of the heat associated with plasma while maintaining the quality of an object to be processed.

10 SUMMARY OF THE INVENTION

It is a general object of the present invention to provide an improved and useful microwave plasma processing apparatus in which the above-mentioned problems are eliminated.

15 A more specific object of the present invention is to provide a microwave plasma processing apparatus which can prevent the component parts of the process chamber from being influenced by heat associated with plasma, thereby improving the quality of plasma processing
20 performed in the process chamber.

In order to achieve the above-mentioned objects, there is provided according to one aspect of the present invention a microwave plasma processing apparatus comprising: a wavelength reducing member reducing a
25 wavelength of a microwave transmitted therethrough; a slot electrode guiding the microwave exiting the wavelength reducing member, the slot electrode provided adjacent to the wavelength reducing member; a first temperature control device controlling a temperature of at least one
30 of the slot electrode and component parts including the wavelength reducing member provided in the vicinity of the slot electrode; and a process chamber into which the microwave exiting the slot electrode is introduced so that

plasma is generated by the microwave within the process chamber.

According to the present invention, the temperature of the slot electrode is controlled by
5 controlling the temperature of a component part adjacent to the slot electrode by utilizing transmission of heat between the slot electrode and the component parts such as the wavelength reducing member. That is, the temperature of the slot electrode can be maintained at a predetermined
10 desired temperature. Thus, a length of each slit formed in the slot electrode can be maintained at a predetermined length, thereby generating plasma having a uniform density within the process chamber.

The microwave plasma processing apparatus may
15 include a dielectric material member provided between the slot electrode and the process chamber, and the first temperature control device controls the temperature of the dielectric material member instead of the temperature of the wavelength reducing member so as to control the
20 temperature of the slot electrode.

Additionally, there is provided according to another aspect of the present invention a plasma processing method comprising the steps of: placing an object to be processed in a process chamber; controlling a
25 pressure inside the process chamber; controlling a temperature of a slot electrode radiating a microwave toward the process chamber by utilizing transmission of heat between the slot electrode and other component parts surrounding the slot electrode; introducing a reaction gas
30 into the process chamber; supplying a microwave to the slot electrode; and processing the object by plasma of the reaction gas generated by the microwave introduced into the process chamber.

According to this invention, the temperature of the slot electrode radiating a microwave toward the process chamber is controlled by utilizing transmission of heat between the slot electrode and component parts surrounding the slot electrode. That is, the temperature of the slot electrode can be maintained at a predetermined desired temperature. Thus, a length of each slit formed in the slot electrode can be maintained at a predetermined length, thereby generating plasma having a uniform density within the process chamber.

Additionally, according to another aspect of the present invention a plasma processing method comprising: placing an object to be processed in a process chamber; controlling a pressure inside the process chamber; controlling a temperature of a slot electrode radiating a microwave toward the process chamber; introducing a reaction gas into the process chamber; supplying a microwave to the slot electrode when a temperature of the slot electrode is below a predetermined temperature; and processing the object by plasma of the reaction gas generated by the microwave introduced into the process chamber.

According to the present invention, a microwave is supplied to the slot electrode when the temperature of the slot electrode is below a predetermined temperature. That is, the temperature of the slot electrode increases due to the microwave transmitted therethrough, and the microwave is supplied when the slot electrode has reached a temperature which is a predetermined temperature below the temperature to be achieved when the plasma processing is being performed. This can eliminate over heating the slot electrode due to the heat generated by transmission of the plasma through the slot electrode.

Other objects, features and advantages of the present invention will become more apparent from the following detailed descriptions when read in conjunction with the accompanying drawings.

5

BRIEF DESCRIPTION OF THE DRAWINGS

FIG.1 is a schematic illustration of a microwave plasma processing apparatus according to a first embodiment of the present invention;

10

FIG.2 is a plan view of a slot electrode provided in the plasma processing apparatus shown in FIG.1;

FIG.3 is a schematic illustration of a structure of a first temperature control device;

15

FIG.4 is a schematic illustration of a third temperature control device; and

FIG.5 is a schematic illustration of a variation of a temperature control plate.

20

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A description will now be given of a first embodiment of the present invention. FIG.1 is a schematic illustration of a microwave plasma processing apparatus 100 according to the first embodiment of the present invention.

25

The microwave plasma processing apparatus 100 shown in FIG.1 comprises a microwave generator 10, an antenna accommodating member 20, a slot antenna 24, a first temperature control device 30, a process chamber 40, a reaction gas supply nozzle 50, a vacuum pump 60 and a second temperature control device 70. A microwave having a wavelength ranging from about 1 GHz to about 100 GHz is generated by the microwave generator 10 and the microwave

30

is introduced into the process chamber 40 via the slot electrode 24.

In the present embodiment, the microwave generator 10 comprises a magnetron, which can generate, for example, a 2.45-GHz, 5-kW microwave. The microwave generated by the microwave generator 10 is converted into a TM mode, a TE mode or a TEM mode by a mode converter (not shown in the figure). It should be noted that, in FIG.1, an isolator for absorbing a microwave returning to the microwave generator 10 and a stub tuner for load matching are not shown for the sake of simplification of the figure.

The antenna accommodating member 20 contacts the slot electrode 24 so as to accommodate a wavelength reducing member 22 within a space defined by the antenna accommodating member 20 and the slot electrode 24 which serves as a bottom plate of the antenna accommodating member 20. The antenna accommodating member 20 is made of a material having a high heat conductivity such as stainless steel. Additionally, a temperature control plate 32 is placed on and contacts the antenna accommodating member 20 as described later. Accordingly, a temperature of the antenna accommodating member 20 can be controlled nearly equal to the temperature of the temperature controlling plate 32.

The wavelength reducing member 22 is made of a material having a predetermined permittivity to reduce the wavelength of the microwave transmitted therethrough. The material of the wavelength reducing member 22 also has a high heat conductivity. As shown in FIG.2, many slits must be formed in the slot antenna 24 so as to achieve uniform plasma density in the process chamber 40. The wavelength reducing member 22 has a function to allow many

slits 25 to be formed in the slot electrode 24.

Ceramics such as SiN or AlN can be used for the wavelength reducing member 22. For example, the specific permittivity ϵ_t of AlN is about 9 and, thus, the
5 wavelength reducing rate n is 0.33 ($n = 1/(\epsilon_t)^{1/2} = 0.33$). Accordingly, the transmission rate of the microwave after passing through the wavelength reducing member 22 becomes 0.33 times the original transmission rate, and, thus, the wavelength also becomes 0.33 times the original wavelength.
10 Accordingly, a distance between adjacent slits 25 can be reduced, resulting in a larger number of slits 25 being provided in the slot electrode 24.

The slot electrode 24 is formed of a copper plate having a circular shape whose diameter is, for
15 example, about 50 cm and thickness is less than 1 mm. The slot electrode 24 is fixed to the wavelength reducing member 22 by screws. As shown in FIG.2, the slot electrode 24 has many T-slits 25 that are arranged along a spiral which starts near the center O of the slot
20 electrode 24 and extends toward the periphery in about two turns.

In the present embodiment, each T-slit 25 as shown in FIG.2 comprises a pair of slits 25A and 25B forming a T shape with a predetermined distance
25 therebetween. More specifically, each of the slits 25A and 25B has a length $L1$ which is in the range of about one half of the wavelength λ_0 of the microwave to 2.5 times a free space wavelength. The width of each of the slits the 25A and 25B is about 1 mm. A distance $L2$ between two
30 adjacent pairs of slits along a radial direction is approximately equal to the wavelength λ_0 . That is, the length $L1$ of each of the slits 25A and 25B is set to satisfy the following relationship.

$$(\lambda_0/2 \times 1/\sqrt{\epsilon_t}) \leq L1 \leq (\lambda_0 \times 2.5)$$

By setting each of the slits 25A and 25B to the above-mentioned structure, a uniformly distributed microwave can be achieved.

5 A radiation element 26 having a width of about 1 mm is provided outside the spirally arranged T-slits 25 so as to prevent reflection of the microwave transmitted toward the periphery of the slot electrode 24. The radiation element 26 is provided for increasing an antenna efficiency of the slot electrode 24. It should be noted
10 that the configuration of the pair of slits 25A and 25B is not limited to the above-mentioned T shape, and, for example, an L shaped slits may be used for the slot electrode 24.

15 The antenna accommodating member 20 is connected to the first temperature control device 30. The first temperature control device 30 controls temperatures of the antenna accommodating member 20 and component parts positioned adjacent to the antenna accommodating member 20
20 to fall into a predetermined temperature range. The first temperature control device 30 comprises, as shown in FIG.3, a control unit 31, a temperature sensor 36 and a heater 38. Cooling water is supplied to the first temperature control device 30 from a water source 39.

25 For the purpose of easy control, it is preferable that the temperature of the cooling water supplied from the water source 39 be a constant temperature. The temperature control plate 32 is made of a material such as a stainless steel, which has a high
30 heat conductivity and can be machined to form a fluid passage 33 therein. The fluid passage 33 can be formed by boring elongated holes in the temperature control plate 32 and plugging open ends of the elongated holes by sealing

members 34 such as screws. The configuration of the fluid passage 33 is not limited to that shown in FIG.3, and the temperature control plate 32 and the fluid passage 33 may have arbitrary configurations. Additionally, instead of
5 the cooling water, other coolant such as alcohol, gulden or flon may be used.

The temperature sensor 36 may comprise a platinum resistor, a thermistor or an infrared temperature sensor. A thermocouple may be used as the temperature
10 sensor 36, but it is preferable that the thermocouple not be influenced by a microwave. The temperature sensor 36 is not necessarily connected to the fluid passage 33. Alternatively, the temperature sensor 36 may be configured to sense a temperature of at least one of the antenna
15 accommodating member 20, the wavelength reducing member 22 and the slot electrode 24.

The heater 38 can be a heating wire wound on a water supply tube connected to the fluid passage 33 of the temperature control plate 32. That is, the temperature of
20 the cooling water flowing through the fluid passage 33 is adjusted by controlling an electric current flowing through the heating wire. Since the temperature control plate 32 has a high heat conductivity, the temperature of the temperature control plate 32 can be controlled nearly
25 equal to the temperature of the cooling water flowing through the fluid passage 33.

The temperature control plate 32 contacts the antenna accommodating member 20, and each of the antenna accommodating member 20 and the wavelength reducing member
30 22 has a high heat conductivity. Accordingly, the temperature of each of the wavelength reducing member 22 and the slot electrode 24 can be controlled by merely controlling the temperature of the temperature control

plate 32.

The temperature of each of the wavelength
reducing member 22 and the slot electrode 24 is increased
due to an energy absorption when the microwave of the
5 microwave generator 10 is supplied thereto for a long
period of time. As a result, each of the wavelength
reducing member 22 and the slot electrode 24 may deform
due to thermal expansion.

For example, if the slot electrode 24 thermally
10 deforms, the length of each slit is changed, which results
in a decrease in the plasma density or localization of the
plasma in the process chamber 40. The decrease in the
plasma density may slow down a plasma processing speed
such as an etching rate or a film deposition rate. As a
15 result, if the plasma processing is controlled based on a
processing time, there may be a case in which a desired
result of the plasma processing (such as plasma etching
depth or plasma deposition thickness) cannot be obtained
when the plasma processing is applied for a predetermined
20 time period (for example, two minutes), that is, for
example, if a semiconductor wafer is processed for a
predetermined time (for example, two minutes) and
thereafter removed from the process chamber 40.
Additionally, if the plasma density in the process chamber
25 40 is localized, the magnitude of plasma processing
applied to the semiconductor wafer may be locally changed.
As mentioned above, if a deformation occurs in the slot
electrode 24, the quality of plasma processing may
deteriorate.

30 Further, if the temperature control plate 32 is
not provided, the slot electrode may warp since the
wavelength reduction member 22 and the slot electrode 24
are different from each other and the both members are

fixed to each other by screws. In such a case, the quality of plasma processing may deteriorate for a reason similar to the above-mentioned reason.

On the other hand, the slot electrode 24 does
5 not deform if the slot member is placed in a high-temperature atmosphere as long as the temperature is maintained unchanged. Additionally, in a plasma CVD apparatus which is an example of the plasma processing
10 apparatus according to the present embodiment, it is preferable that a temperature inside the process chamber 40 be maintained as high as possible so as to eliminate influence of water existing in the process chamber 40. That is, if water exists in the process chamber 40 in the form of liquid or mist, the water may enter a film
15 deposited on a semiconductor wafer by the plasma processing.

Additionally, considering heat resistance of component parts such as an O-ring 90 provided between the process chamber 40 and a dielectric material member 28 so
20 as to seal the process chamber 40, the temperature control plate 32 (that is, the slot electrode 24) is preferably controlled to be $70 \pm 5^\circ\text{C}$. The setting temperature of 70°C and the allowable range of $\pm 5^\circ\text{C}$ can be arbitrarily set according to a process to be performed and heat resistance
25 of component parts.

In the present embodiment the first temperature control device 30 controls an electric current supplied to the heater 38 based on temperature information output from the temperature sensor 36 so that the temperature of the
30 temperature control plate 32 is $70 \pm 5^\circ\text{C}$. The control of the electric current may be performed by, for example, a variable resistor. Assuming that the slot electrode 24 is used at a temperature of $70 \pm 5^\circ\text{C}$, the slot electrode 24 is

designed so that the length of each slit is an optimum when the slot electrode 24 is placed at 70°C.

Alternatively, when the temperature sensor 36 is provided to the temperature control plate 32, a wider temperature
5 range of $70 \pm 10^\circ\text{C}$ may be applied since the transmission of heat between the temperature control plate 32 and the slot electrode 24 takes a longer time.

In the first temperature control device 30, a temperature of the cooling water is raised to 70°C by
10 driving the heater 38 at the initial stage since the temperature of the temperature control plate 32, which is placed at a room temperature, is lower than 70°C. Alternatively, the supply of the cooling water may be stopped until the temperature of the temperature control
15 plate 32 reaches about 70°C. Accordingly, the first temperature control device 30 shown in FIG.3 includes a mass flow controller for controlling an amount of the cooling water and a stop valve.

When the temperature of the temperature control
20 plate 32 exceeds 75°C, the first temperature control device 30 starts to supply the cooling water of about 15°C from the water source 39 so as to cool the temperature control plate 32. Thereafter, when the temperature information of the temperature sensor 36 indicates 15°C,
25 the first temperature control device 30 controls to maintain the temperature of the temperature control plate 32 to be in the range of $70 \pm 10^\circ\text{C}$. Specifically, the first temperature control device 30 operates the mass flow controller and the stop valve so as to supply the cooling
30 water from the water source 39 to the temperature control plate 32. Thereafter, the first temperature control device 30 stops the supply of the cooling water when the temperature information of the temperature sensor 36

indicates 70°C.

As mentioned above, the first temperature control device 30 differs from the cooling means disclosed in the above-mentioned Japanese Laid-Open Patent

- 5 Application No. 3-191073 in that the first temperature control device 30 controls the temperature of the wavelength reducing member 22 and the slot electrode 24 to be in the predetermined temperature range while the cooling means disclosed in Japanese Laid-Open Patent
- 10 Application No. 3-191073 merely cools the slot electrode without setting a temperature control range.

- By the above-mentioned control system, a plasma density in the process chamber 40 can be controlled to achieve a high quality plasma processing. It can be
- 15 appreciated that if the slot electrode 24 is designed so that an optimum length of each slit of the slot electrode 24 is achieved at the temperature of 70°C and if the control is performed to merely lower the temperature of a slot electrode to a temperature around 15°C, an optimum
- 20 processing environment cannot be achieved.

- Additionally, the first temperature control device 30 can simultaneously control the temperatures of both the wavelength reducing member 22 and the slot electrode 24 by controlling an amount of cooling water
- 25 flowing through the temperature control plate 32. This is because each of the temperature control plate 32, the antenna accommodating member 20 and the wavelength reducing member 22 is made of a material having a high heat conductivity. According to such a structure, these
- 30 three component parts can be controlled by a single temperature control device. Thus, the size and cost of the entire apparatus can be reduced. It should be noted that the temperature control plate 32 is one of examples

of temperature control means, and other cooling means such as a cooling fan may be used instead of the cooling water system according to the present embodiment.

5 A description will now be given, with reference to FIG.4, of a third temperature control device 95. FIG.4 is a schematic illustration of the third temperature control device 95.

10 The third temperature control device 95 controls a temperature of the dielectric material member 28 by using cooling water or coolant. The third temperature control device 95 can be constituted by a temperature sensor and a heater similar to the first temperature control device, and descriptions there of will be omitted.

15 In the present embodiment, although the temperature control plate 32 and the antenna accommodating member 20 are separate from each other, the function of the temperature control plate 32 can be provided to the antenna accommodating member 20. For example, the antenna accommodating member 20 can be directly cooled by providing a fluid passage 96 on a side and/or a top surface of the antenna accommodating member 20. Since the seal between the dielectric material member 28 and the process chamber 40 is achieved by the O-ring 90, the temperature control device 95 shown in FIG.4 effectively
20 cools the O-ring 90.

FIG.5 is a schematic illustration of a variation of the temperature control plate 32 shown in FIG.1. As shown in FIG.5, the wavelength reducing member 22 and the slot electrode 24 can be simultaneously and effectively
30 cooled by providing a temperature control plate 99 having a fluid passage 99 to cool the side of the antenna accommodating member 20. Alternatively, a temperature control plate may be provided around the slot electrode 24,

or a fluid passage for cooling water may be provided in the slot electrode 24 while avoiding interference with the slits 25 also formed in the slot electrode 24.

5 The dielectric material member 28 is provided between the slot electrode 24 and the process chamber 40 so as to close the top opening of the process chamber 40. The slot electrode 24 is tightly joined to the surface of the dielectric material member 28 by brazing. Alternatively, as shown in FIG.5, the slot electrode can
10 be formed by a copper plate applied to the surface of the dielectric material member 28.

The dielectric material member 28 is made of a dielectric material such as aluminum nitride (AlN). The dielectric material member 28 prevents the slot electrode
15 24 from being deformed due to a negative pressure generated in the process chamber 40. Additionally, the dielectric material member 28 prevents the slot electrode 24 from being exposed to the atmosphere inside the process chamber 40 so that the environment inside the process
20 chamber 40 is prevented from being contaminated by copper. If necessary, the dielectric material member 28 may be formed of a dielectric material having a low heat conductivity so as to prevent the slot electrode 24 from being influenced by heat from the process chamber 40.

25 Alternatively, the dielectric material member 28 may be formed of a dielectric material having a high heat conductivity such as AlN. In such a case, the temperature of the slot electrode 24 can be controlled by controlling the temperature of the dielectric material member 28, and,
30 subsequently, the temperature of the wavelength reducing member 22 can be controlled via the slot electrode 24. It should be noted that a fluid passage for providing the cooling water can be directly formed within the dielectric

material member 28.

The process chamber 40 as shown in FIG.1 has a cylindrical shape whose side wall and bottom plate are made of a conductive material such as aluminum. The
5 process chamber 40 can be maintained at a predetermined negative pressure by a vacuum pump 60. A heating plate 42 is provided inside the process chamber 40 so that an object to be processed such as a semiconductor wafer is placed on the heating plate 42. It should be noted that
10 an electrostatic chuck or clamping mechanism is not shown in FIG.1 for the purpose of simplification of the drawing.

The heating plate 42 has a similar structure with the heater 38 so as to control the temperature of the semiconductor wafer. For example, in a plasma CVD process,
15 the semiconductor wafer is heated at a temperature of about 450°C by the heating plate 42. On the other hand, in a plasma etching process, the semiconductor wafer is heated to a temperature of less than 80°C. Accordingly, the temperature achieved by the heating plate 42 differs
20 for each process to be applied to the semiconductor wafer. In any process, the heating plate 42 heats the semiconductor wafer so that water does not adhere to or enter the semiconductor wafer as impurities. A second temperature control device 70 shown in FIG.1 controls an
25 electric current supplied to the heating plate 42 in accordance with a temperature sensed by a temperature sensor 72 which senses the temperature of the heating plate 42.

The supply nozzle 50 made of a quartz pipe is
30 provided on a side wall of the process chamber for introducing a reaction gas into the process chamber 40. The nozzle 50 is connected to a reaction gas source 58 via a mass flow controller 54 and a stop valve 56. For

example, in order to deposit a silicon nitride film, NH_3 or SiH_4 is mixed with a mixture gas of N_2 , H_2 and one of neon, xenon, argon, helium, radon and krypton.

5 The vacuum pump 60 can maintain a pressure of the process chamber 40 at a predetermined negative pressure in the range of 0.1 mTorr to 10 mTorr. It should be noted that a detail of the exhaust system is not shown in FIG.1.

10 As shown in FIG.4, a fluid passage 44 is formed in an upper portion of the side wall of the process chamber 40 so as to control the temperature of the upper portion of the side wall of the process chamber 40. That is, the cooling water which passed through the fluid passage of the first temperature control device 30 is
15 supplied to the fluid passage 44.

A description will now be given of an operation of the microwave plasma processing apparatus 100 according to the present embodiment.

20 First, a semiconductor wafer is inserted into the process chamber 40 by a conveyor arm through a gate valve (not shown in the figure) provided on the side wall of the process chamber 40. Thereafter, the semiconductor wafer is placed on a predetermined table by moving a lifter pin (not shown in the figure).

25 Thereafter, air in the process chamber 40 is evacuated, and the pressure in the process chamber 40 is maintained to be 50 mTorr. Then, a reaction gas is supplied from the reaction gas source 58, and is introduced into the process chamber 40 via the mass flow
30 controller 54 and the stop valve 56.

The temperature inside the process chamber 40 is maintained to be 70°C by the second temperature control device 70 and the heating plate 42. Additionally, the

first temperature control device 30 controls the heater 38 so that the temperature of the temperature control plate 32 becomes about 70°C. Thereby, the wavelength reducing member 22 and the slot electrode 24 are maintained at
5 about 70°C. The slot electrode 24 is designed so that the length of each slit is optimized at 70°C. Additionally, it is assumed that the allowable tolerance of the temperature of the slot electrode is $\pm 5^\circ\text{C}$. When the plasma is generated, the temperature of the slot electrode
10 24 is raised by the heat of the plasma. Accordingly, the heat generated by plasma may be controlled so as to introduce a microwave into the slot electrode 24 when the slot electrode 24 is below a predetermined temperature.

On the other hand, a microwave from the
15 microwave source 10 is introduced into the wavelength reducing member 22 accommodated in the antenna accommodating member 20 via a square waveguide or a coaxial wave guide (not shown in the figure) in, for example, a TEM mode. The microwave passed through the
20 wavelength reducing member 22 is reduced in its wavelength, and enters the slot electrode 24. The microwave is directed toward the process chamber 40 by the slits 25, and is introduced into the process chamber 40 via the dielectric material member 28. Since the temperature of
25 the wavelength reducing member 22 and the slot electrode 24 is controlled, there is no deformation due to thermal expansion and the slot electrode 24 can have slits 25 having an optimum length. Accordingly, the microwave can be introduced into the process chamber 40 with a uniform
30 density over the entire process chamber 40 without localization.

If the temperature of the temperature control plate 32 exceeds 75°C, the first temperature control

device 30 supplies the cooling water of about 15°C from the water source 39 to the temperature control plate 32. Similarly, when the temperature of the temperature control plate 32 goes down below 65°C, the first temperature control device 30 controls the heater 38 so as to raise the temperature of the cooling water supplied from the water source 39 to the temperature control plate 32. Accordingly, the temperature of the temperature control plate 32 can be raised above 65°C.

On the other hand, when the temperature sensor 72 senses that the temperature of the process chamber 40 is below a predetermined temperature due to over cooling by the temperature control plate 32, the second temperature control device 70 controls the heating plate 42 to control the temperature of the process chamber 40 so as to prevent water from adhering to or entering the wafer as impurities.

Thereafter, the microwave converts the reaction gas into plasma so as to perform a plasma deposition process. The plasma deposition process is performed for a predetermined period of time, and thereafter the semiconductor wafer is removed from the process chamber 40 through the gate valve. Since the plasma generated in the process chamber 40 has uniform density, the film produced by the plasma deposition has a uniform thickness. Additionally, since the process chamber 40 is maintained at a predetermined temperature at which water does not enter the wafer, a good quality deposition film can be obtained.

It should be noted that since the microwave plasma processing apparatus 100 according to the present embodiment does not prevent use of an electron cyclotron resonance, a coil generating a predetermined magnetic

field may be provided to the microwave plasma processing apparatus 100. Additionally, since the microwave plasma processing apparatus 100 according to the present embodiment may be used to perform a plasma etching process or plasma cleaning process on the semiconductor wafer instead of performing the plasma deposition process. Further, the object to be processed is not limited to the semiconductor wafer, and other materials such as LCD may be processed by the microwave plasma processing apparatus 100 according to the present embodiment.

The present invention is not limited to the specifically disclosed embodiments, and variations and modifications may be made without departing from the scope of the present invention.

The present application is based on Japanese priority application No. 11-85675 filed on March 29, 1999, the entire contents of which are hereby incorporated by reference.

20

25

30

5

1. A microwave plasma processing apparatus comprising:

a wavelength reducing member reducing a wavelength of a microwave transmitted therethrough;

10 a slot electrode guiding the microwave exiting the wavelength reducing member, the slot electrode provided adjacent to the wavelength reducing member;

a first temperature control device controlling a temperature of at least one of the slot electrode and

15 component parts including the wavelength reducing member provided in the vicinity of the slot electrode; and

a process chamber into which the microwave exiting the slot electrode is introduced so that plasma is generated by the microwave within the process chamber.

20

2. The microwave plasma processing apparatus as

25 claimed in claim 1, wherein the first temperature control device controls the temperature of the slot electrode to be in a predetermined temperature range so as to promote a water component being released from other components in the process chamber.

2. The microwave plasma processing apparatus as
25 claimed in claim 1, wherein the first temperature control
device controls the temperature of the slot electrode to
be in a predetermined temperature range so as to promote a
water component being released from other components in
the process chamber.

3. The microwave plasma processing apparatus as claimed in claim 2, wherein the predetermined temperature range is $70 \pm 10^\circ \text{C}$.

5

4. The microwave plasma processing apparatus as claimed in claim 1, wherein the first temperature control
10 device controls the temperature of the wavelength reducing member so as to control the temperature of the slot electrode by utilizing transmission of heat between the wavelength reducing member and the slot electrode.

15

5. The microwave plasma processing apparatus as claimed in claim 1, further comprising an antenna
20 accommodating member accommodating the wavelength reducing member, wherein the first temperature control device controls a temperature of the antenna accommodating member so as to control the temperature of each of the wavelength reducing member and the slot electrode by utilizing
25 transmission of heat between the antenna accommodating member and each of the wavelength reducing member and the slot electrode.

30

6. The microwave plasma processing apparatus as claimed in claim 1, further comprising a dielectric

material member interposed between the slot electrode and the process chamber, wherein the first temperature control device controls the temperature of one of the wavelength reducing member and the dielectric material member so as to control the temperature of the slot electrode by utilizing transmission of heat between the slot electrode and the one of the wavelength reducing member and the dielectric material member.

10

7. The microwave plasma processing apparatus as claimed in claim 1, further comprising a second temperature control device controlling a temperature of an object to be processed within the process chamber.

15

20

8. The microwave plasma processing apparatus as claimed in claim 6, further comprising a third temperature control device controlling a temperature of a periphery of the dielectric material member.

25

9. The microwave plasma processing apparatus as claimed in claim 1, wherein the first temperature control device includes a temperature control arrangement for controlling a temperature of a side wall of the process chamber.

30

5

10

15

20

25

30

12. The plasma processing process as claimed in claim 10, wherein the step of controlling a temperature includes the step of:

controlling a temperature of a dielectric
5 material member provided between the slot electrode and the process chamber so as to control the temperature of the slot electrode by utilizing transmission of heat between the dielectric material member and the slot electrode.

10

13. The plasma processing process as claimed in
15 claim 10, further including the step of controlling a temperature of an object to be processed within the process chamber.

20

14. A plasma processing method comprising:
placing an object to be processed in a process
chamber;

25 controlling a pressure inside the process
chamber;

controlling a temperature of a slot electrode
radiating a microwave toward the process chamber;

introducing a reaction gas into the process
30 chamber;

supplying a microwave to the slot electrode when
a temperature of the slot electrode is below a
predetermined temperature; and

processing the object by plasma generated by the reaction gas and the microwave introduced into the process chamber.

5

15. The plasma processing process as claimed in claim 14, wherein the step of controlling a temperature includes the step of:

controlling a temperature of a wavelength reducing member so as to control the temperature of the slot electrode by utilizing transmission of heat between the wavelength reducing member and the slot electrode, the wavelength reducing member reducing a wavelength of the microwave supplied to the slot electrode;

20

16. The plasma processing process as claimed in claim 14, wherein the step of controlling a temperature includes the step of:

controlling a temperature of a dielectric material member provided between the slot electrode and the process chamber so as to control the temperature of the slot electrode by utilizing transmission of heat between the dielectric material member and the slot electrode.

30

17. The plasma processing process as claimed in claim 14, further including the step of controlling a temperature of an object to be processed within the process chamber.

5

10

15

20

25

30

ABSTRACT OF THE DISCLOSURE

A microwave plasma processing apparatus prevents the component parts of a process chamber from being influenced by heat associated with plasma, thereby
5 improving the quality of plasma processing performed in the process chamber. A wavelength reducing member reduces a wavelength of a microwave transmitted therethrough. A slot electrode guides the microwave exiting the wavelength reducing member, the slot electrode provided adjacent to
10 the wavelength reducing member. A first temperature control device controls a temperature of at least one of the slot electrode and component parts including the wavelength reducing member provided in the vicinity of the slot electrode. The microwave exiting the slot electrode
15 is introduced to the process chamber so that plasma is generated by the microwave within the process chamber.

20

25

30

00360 723600

FIG.1

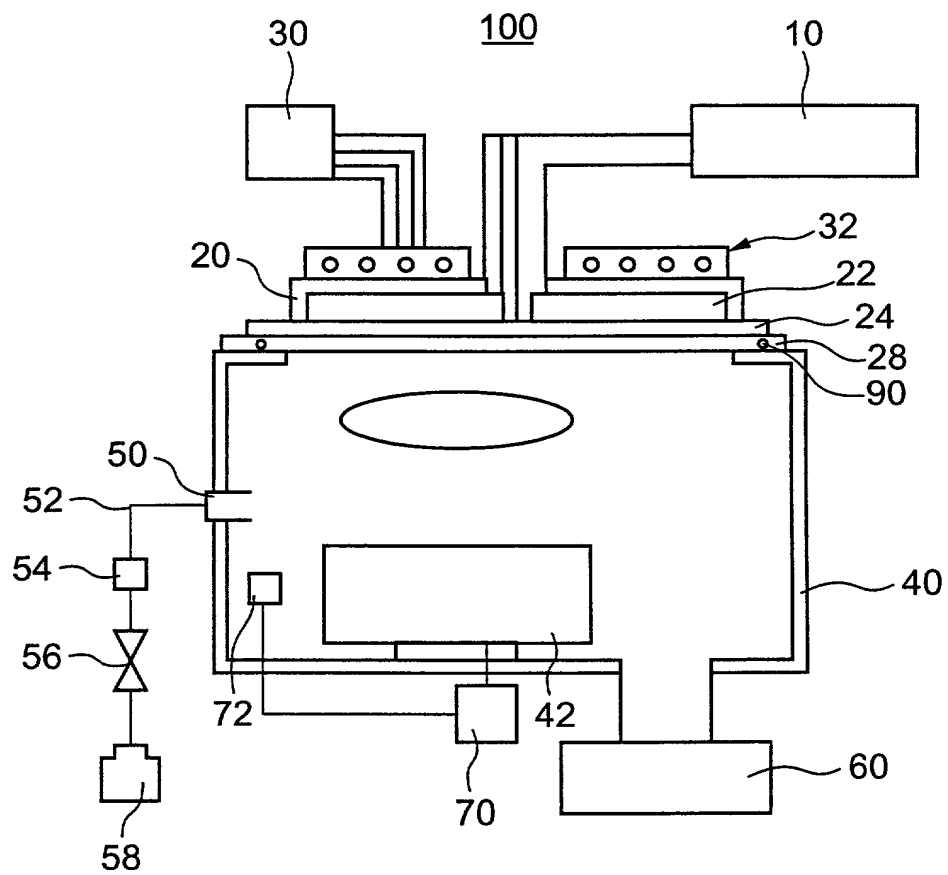


FIG.2

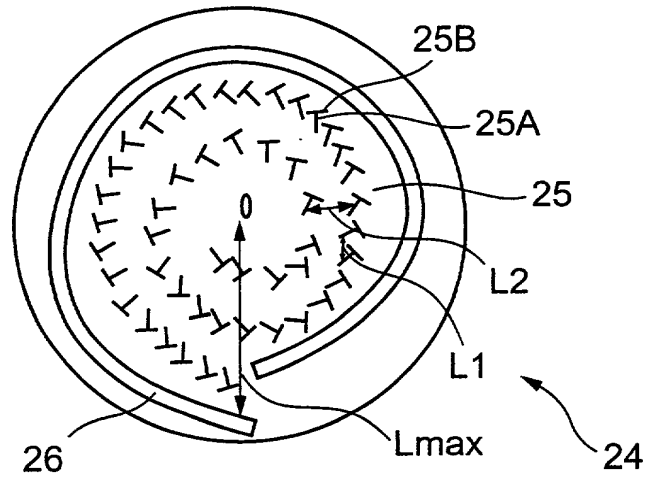


FIG.3

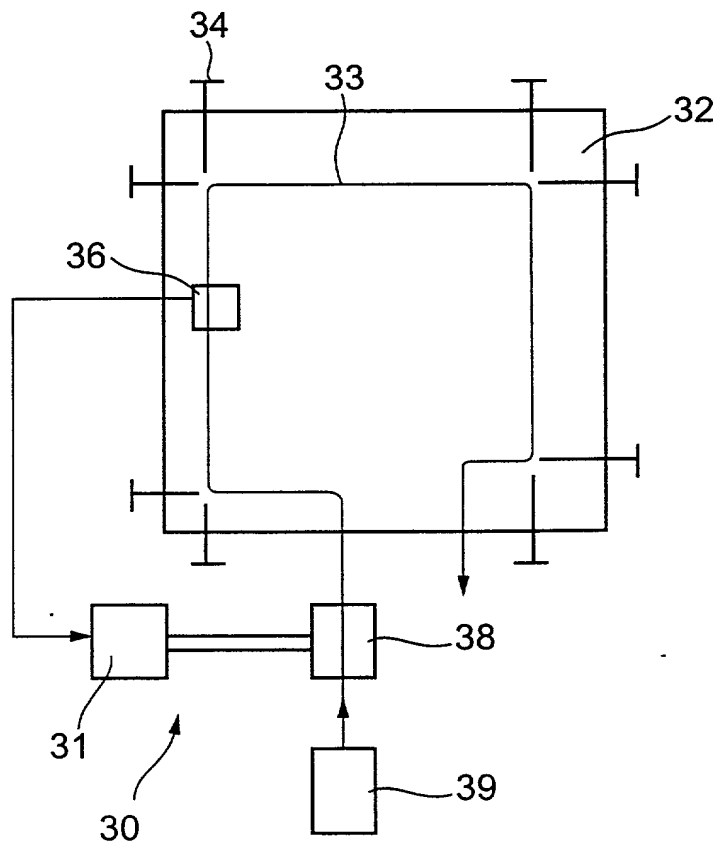


FIG.4

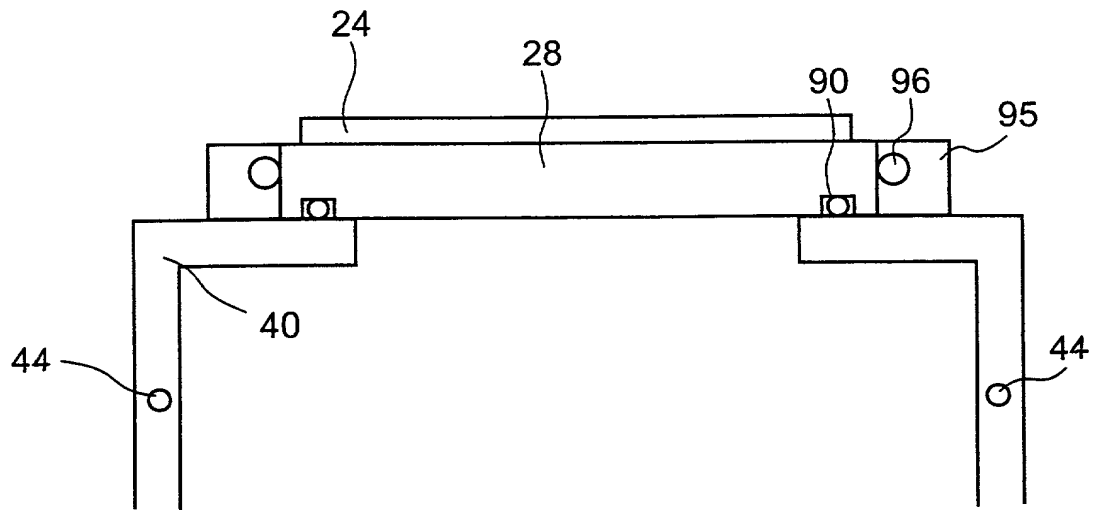
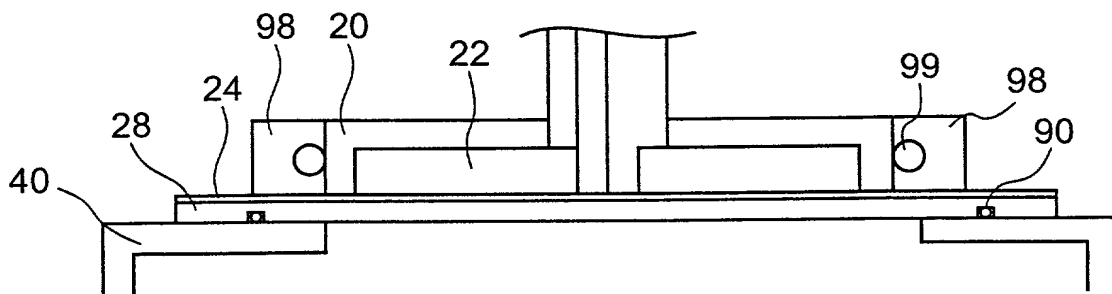


FIG.5



FOR UTILITY/DESIGN
CIP/PCT NATIONAL/PLANT
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)
DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CUSHMAN
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED MICROWAVE PLASMA PROCESSING APPARATUS FOR CONTROLLING A TEMPERATURE OF A WAVELENGTH REDUCING MEMBER
the specification of which (CHECK applicable BOX(ES))

-> [x] is attached hereto.

-> [] was filed on _____ as U.S. Application No. 0 / _____

BOX(ES) -> [] was filed as PCT International Application No. PCT/ _____ / _____ on _____

-> -> and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)	Date first Laid-	Date Patented	Priority Claimed			
Number	Country	Day/MONTH/Year Filed	open or Published	or Granted	Yes	No
No. 11-85675	Japan	29/March/1999			XX	

I hereby claim domestic priority benefit under 35 U.S.C. 120/365 of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)	Status	Priority Claimed		
Application No. (series code/serial no.)	Day/MONTH/Year Filed	pending, abandoned, patented	Yes	No

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Cushman Darby & Cushman Intellectual Property Group of Pillsbury Madison & Sutro LLP, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above Firm and/or a below attorney in writing to the contrary.

Paul N. Kokulis	16773	David W. Brinkman	20817	Chris Comuntzis	31097	David A. Jakopin	32995
Raymond F. Lippitt	17519	George M. Sirilla	18221			Mark G. Paulson	30793
G. Lloyd Knight	17698	Donald J. Bird	25323	Paul E. White, Jr.	32011	James D. Berquist	34776
Carl G. Love	18781	W. Warren Taltavull	25647	Michelle N. Lester	32331	Timothy J. Klima	34852
Edgar H. Martin	20534	Peter W. Gowdey	25872	Jeffrey A. Simenauer	31993	John P. Moran	30906
William K. West, Jr.	22057	Dale S. Lazar	28872			Stephen C. Glazier	31361
Kevin E. Joyce	20508	Glenn J. Perry	28458	G. Paul Edgell	24238	Paul F. McQuade	31542
Edward M. Prince	22429	Kendrew H. Colton	30368	Lynn E. Eccleston	35861		

1. INVENTOR'S SIGNATURE: T. Hongoh Date March 23, 2000
Inventor's Name (typed) TOSHIAKI HONGO Japan
Residence (City) Nakakoma-Gun (State/Foreign Country) Japan
Post Office Address (Include Zip Code) 1060-1, Nakashimojo, Shikishimacho, Nakakoma-Gun, Yamanashi, Japan

2. INVENTOR'S SIGNATURE: _____ Date _____
Inventor's Name (typed) _____
Residence (City) _____ (State/Foreign Country) _____
Post Office Address (Include Zip Code) _____

3. INVENTOR'S SIGNATURE: _____ Date _____
Inventor's Name (typed) _____
Residence (City) _____ (State/Foreign Country) _____
Post Office Address (Include Zip Code) _____

(FOR ADDITIONAL INVENTORS, check box [] and attach sheet (CDC-116.2) for same information for each re signature, name, date, citizenship, residence and address.)